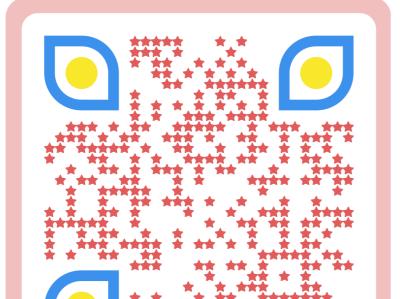
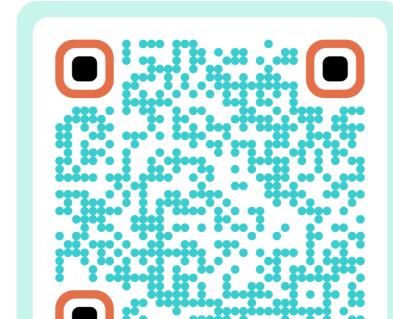
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# **Reasoning Implicit Sentiment with Chain-of-Thought Prompting**

TL;DR

We solve the implicit sentiment analysis with a three-hop reasoning framework based on the chain-of-thought prompting method.





- **Step 1.** We first ask LLM what aspect *a* is mentioned with the following template:
- $C_1[Given sentence X]$ , which specific aspect of t is possibly mentioned?

 $C_1$  is the first-hop prompt context. This step can be formulated as  $A = \operatorname{argmax} p(a|X, t)$ , where A is the output text which explicitly mentions the aspect a.

**Step 2.** Now based on X, t and a, we ask LLM to answer in detail what would be the underlying opinion *o* towards the mentioned aspect *a*:

 $C_2[C_1, A]$ . Based on the common sense, what is the implicit opinion towards the mentioned aspect of  $t_{,}$  and why?











# ► 1. Introduction

Sentiment analysis (SA) aims to detect the sentiment polarity towards a given target based on the input text. SA can be classified into explicit SA (ESA) and implicit SA (ISA), where the former type is the current mainstream task, in which the emotional expressions explicitly occur in texts. Different from ESA, ISA is much more challenging, because in ISA the inputs contain only factual descriptions with no explicit opinion expression directly given. For example, given a text 'Try the tandoori salmon!', having no salient cue word, almost all existing sentiment classifier predicts a neutral polarity towards 'the tandoori salmon'.

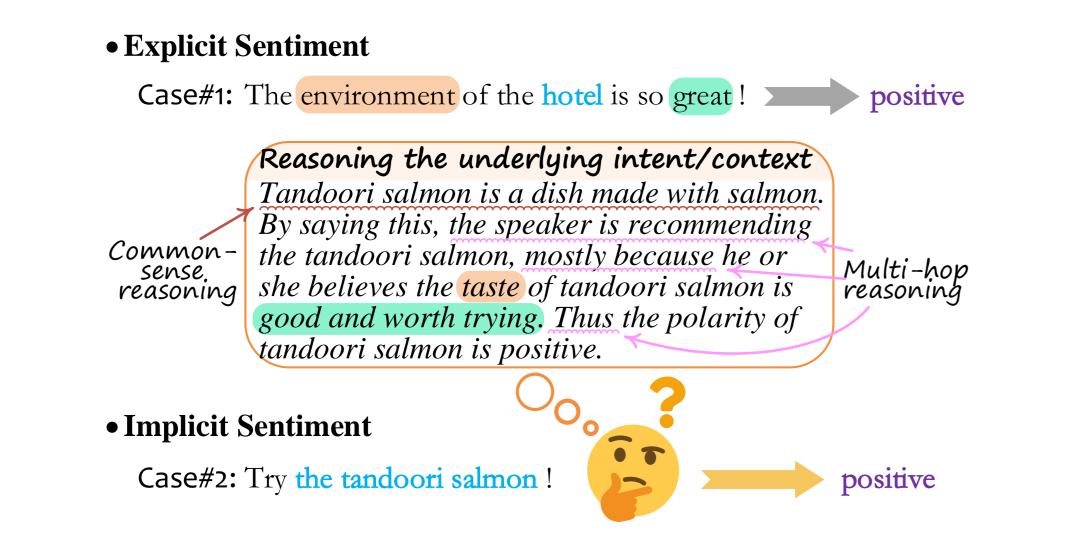


Figure 1: Detecting the explicit and implicit sentiment polarities towards targets. Explicit opinion expression helps di-

 $C_2$  is the second-hop prompt context which concatenates  $C_1$  and A. This step can be written as  $O = \operatorname{argmax} p(o|X, t, a)$ , where O is the answer text containing the possible opinion expression o.

**Step 3.** With the complete sentiment skeleton (X, t, a and o) as context, we finally ask LLM to infer the final answer of polarity t:

Based on the opinion, what is the sentiment polarity  $C_3[C_2, O]$ . towards t?

 $C_3$  is the third-hop prompt context. We note this step as  $\hat{y}=\operatorname{argmax} p(y|X,t,a,o)$ .

## **Enhancing Reasoning via Self-consistency**

We further leverage the self-consistency mechanism to consolidate the reasoning correctness. Specifically, for each of the three reasoning steps, we set the LLM decoder to generate multiple answers, each of which will likely to give varied predictions of aspect a, opinion o as well as the polarity y. At each step, those answers with high voting consistency of inferred a, o or y are kept. We select the one with the highest confidence as the context in the next step.

## **Reasoning Revising with Supervision**

We can also fine-tune our THOR when the on-demand training set is available, i.e., supervised finetuning setup. We devise a reasoning revising method. Technically, at each step we construct a prompt by concatenating 1) the initial context, 2) this step's reasoning answer text and 3) the final question, and feed it into LLM to predict the sentiment label instead of going to the next step reasoning. For example, at end of step-1, we can assemble a prompt:  $[C_1, A, `what is the sentiment polarity towards]$ t?']. In the supervision of gold labels, the LLM will be taught to generate more correct intermediate reasoning that is helpful to the final prediction.

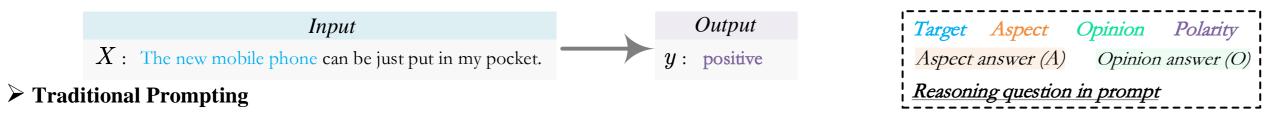
# ► 3. Experiments

rect inference, while detecting implicit sentiment requires common-sense and multi-hop reasoning.

In fact, it is critical to first discover the hidden opinion contexts to achieve accurate ISA. For the explicit case#1 in Fig. 1, it is effortless to capture the overall sentiment picture (e.g., 'environment' is the aspect, 'great' is the opinion), and thus can precisely infer the positive polarity towards the given target *hotel*. Inspired by such fine-grained sentiment spirit, we consider mining the implicit aspect and opinion states. For the implicit case#2 in Fig. 1, if a model can first infer the key sentiment components, e.g., the latent aspect 'taste', latent opinion 'good and worth trying', the inference of final polarity can be greatly eased. To reach the goal, the capabilities of **common-sense reasoning** (i.e., infer what is 'tandoori salmon') and multi-hop reasoning (i.e., infer the aspect and then the opinion) are indispensable.

# ► 2. Three-hop Reasoning Framework

Fortunately, the recent great triumph of pre-trained large-scale language models (LLMs) offers a promising solution. On the one hand, LLMs have been found to carry very rich world knowledge, showing extraordinary ability for common-sense understanding. On the other hand, the latest chainof-thought (CoT) idea has revealed the great potential of LMs' multi-hop reasoning, where an LLM with some prompts can do chain-style reasoning impressively. Built on top of all these successes, in this work we implement a Three-hop Reasoning CoT framework (namely THOR) for ISA. Based on an LLM, we design three prompts for three steps of reasoning, each of which respectively infers 1) the fine-grained aspect of the given target, 2) the underlying opinion towards the aspect, and 3) the final polarity. With such easy-to-hard incremental reasoning, the hidden contexts of the overall sentiment picture can be elicited step by step to achieve an easier prediction of final polarity, which effectively alleviates the difficulties of the task prediction.



Given the sentence "The new mobile phone can be just put in my pocket.", what is the sentiment polarity towards the new mobile phone? The sentiment polarity of the given sentence is neutral. The sentence simply states that the new mobile phone can be put in the speaker's pocket and does not express any positive or negative sentiment towards the phone.

Main results. Flan-T5-11B with THOR shows significant boosts for ISA, i.e., 7.45%(=79.73-72.28) on Restaurant and 5.84%(=82.43-77.59) on Laptop, with average improvement of 6.65%(7.45+5.84)/2 F1. GPT3-175B with THOR boosts the SoTA results by 51.94%(=81.96-30.02) on Restaurant and 50.27%(=76.04-25.77) on Laptop, with an average 51.10%(51.94+50.27)/2 F1.

	Resta	Restaurant		otop		Restaurant		
	All	ISA	All	ISA		All	ISA	A
State-of-the-art baselines					• State-of-the-art baseline	?S		
BERT+SPC <sup>†</sup> (110M)	77.16	65.54	73.45	69.54	BERT+SPC (110M)		19.48	25.
BERT+ADA <sup>†</sup> (110M)	80.05	65.92	74.18	70.11	BERT+RGAT (110M)		22.04	
BERT+RGAT <sup>†</sup> (110M)	81.35	67.79	74.07	72.99	BERT <sub>Asp</sub> +SCAPT (110M)		25.49	
$BERT_{Asp}+CEPT^{\dagger}$ (110M)	82.07	67.79	78.38	75.86	Prompt-based methods	50.02	25.17	20.
BERT+ISAIV <sup>†</sup> (110M)	81.40	69.66	77.25	78.29	BERT+Prompt (110M)	33 62	31.46	35
$BERT_{Asp}+SCAPT^{\dagger} (110M)$	83.79	72.28	79.15	77.59	1			
<ul> <li>Prompt-based methods</li> </ul>					Flan-T5+Prompt (250M)		41.57	
BERT+Prompt (110M)	81.34	70.12	78.58	75.24	Flan-T5+Prompt (11B)	57.12	45.31	54.
Flan-T5+Prompt (250M)	81.50	70.91	79.02	76.40	• CoT-based methods			
Flan-T5+Prompt (11B)	84.72	75.10	82.44	78.91	Flan-T5+THOR (250M)	55.86	42.84	52.5
• CoT-based methods					Flan-T5+THOR (3B)	57.33	50.04	56.3
Flan-T5+THOR (250M)	83.98	74.70	81.47	79.52	Flan-T5+THOR (11B)	<u>61.87</u>	<u>52.76</u>	58.2
Flan-T5+THOR (11B)	87.45	79.73	85.16	82.43	Flan-T5+ZeroCoT (11B)	56.58	47.41	55.5
w/o SelfConsistency	86.03	77.68	84.39	80.27	GPT3+THOR (175B)	81.96	76.55	76.(
w/o Reason-Revising	86.88	78.42	84.83	81.69	Table 2. Model results or			

Table 1: F1 results on supervised fine-tuning setup. Best results are marked in bold. Scores by model with † are copied from Li et al. (2021).

Table 2: Model results on Zero-shot setting. We reimplement the state-of-the-art baselines for the zeroshot performance. 'ZeroCoT' means prompting LLM with the zero-shot CoT, 'let's think step by step'

**Further analyses.** With the increasing model scale, the efficacy of our multi-hop reasoning prompting is exponentially amplified, cf. Fig. 3. In Fig. 4, both GPT3 and ChatGPT with THOR achieves considerable improvements on ISA. Unsupervised-GPT3 (175B) gives similarity low error rate as with Supervised-T5, while the latter fails much more frequently on the incapability of reasoning. In contrast to Supervised-T5, the majority of failures in Unsupervised-GPT3 comes from problematic

### Three-hop Reasoning with CoT Prompting

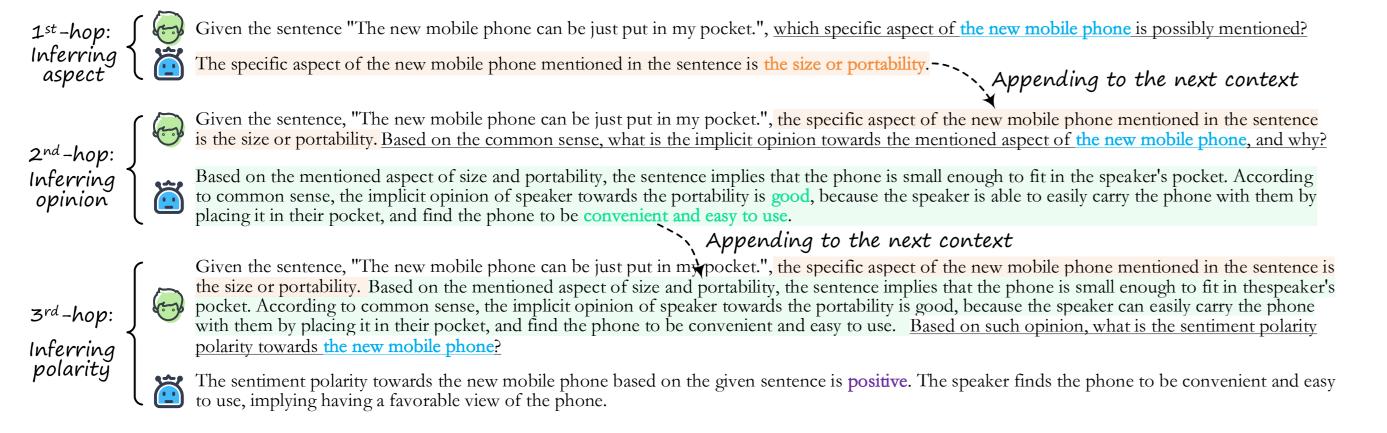


Figure 2: An illustration of our THOR framework for three-hop reasoning of implicit sentiment.

Instead of directly asking LLM the final result of y, in our THOR we hope the LLM infer the latent aspect and opinion information before answering the finale y. We here define the intermediate aspect term a and latent opinion expression o. We construct the three-hop prompts as follows.

#### data annotation.

